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Applicant: Sunao TABATA et al.  
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APPARATUS THAT PERFORMS  
COLOR CONVERSION  
PROCESSING

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**UTILITY PATENT APPLICATION**  
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Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

Sunao TABATA  
Hiroyuki FUSE

Enclosed are:

- ☒ [ X ] Specification, Claim(s), and Abstract (40 pages).
- ☒ [ X ] Formal drawings (18 sheets, Figures 1-24).
- ☒ [ X ] Declaration and Power of Attorney (2 pages).
- ☒ [ X ] Assignment of the invention to TOSHIBA TEC KABUSHIKI KAISHA and KABUSHIKI KAISHA TOSHIBA.
- ☒ [ X ] Assignment Recordation Cover Sheet.
- ☒ [ X ] Claim for Convention Priority and certified copy of priority document.
- ☐ [ ] Small Entity statement.
- ☒ [ X ] Information Disclosure Statement.

☒ Form PTO-1449 with a copy of one (1) listed reference.

The filing fee is calculated below:

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Basic Fee				\$690.00	\$690.00
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Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date March 9, 2000

FOLEY & LARDNER  
Washington Harbour  
3000 K Street, N.W., Suite 500  
P.O. Box 25696  
Washington, D.C. 20007-8696  
Telephone: (202) 672-5300  
Facsimile: (202) 672-5399

By

*Johnny L. Kumar*  
34,649

for

Richard L. Schwaab  
Attorney for Applicant  
Registration No. 25,479

TITLE OF THE INVENTION

COLOR IMAGE PROCESSING APPARATUS THAT PERFORMS COLOR  
CONVERSION PROCESSING

CROSS-REFERENCE TO RELATED APPLICATION

5           This application is based upon and claims the  
benefit of priority from the prior Japanese Patent  
Application No. 11-077954, filed March 23, 1999, the  
entire contents of which are incorporated herein by  
reference.

10                           BACKGROUND OF THE INVENTION

Color copy machines, for example, are known as  
devices for reading a color document image and printing  
the read color image on, for example, a sheet of paper.  
These machines create and print a color image using a  
15           combination of CMYK signal components. Since each  
machine reads an original document image using an  
optical image reading device such as a scanner  
incorporated therein, an RGB signal corresponding to  
the read original document image is input to it. In  
20           other words, by the time the read document image is  
printed, an RGB signal (i.e. a three-color signal) is  
converted into a CMYK signal (i.e. a four-color signal).  
Conversion of this type includes, for example, a system  
for directly converting an RGB signal into a CMYK  
25           signal, or a system for converting an RGB signal into  
a CMY signal and then blacking processing (i.e.  
processing for adding a black (K) signal component to a

CMY signal) to thereby obtain a CMYK signal.

When the image reading condition is 600 dpi/A4 (8 bits), the size of data on a read image is as large as 100 Mb in the case of RGB image data, and 133 Mb in the case of CMYK image data. Therefore, it is necessary to reduce memory load by data compression. If non-reversible data compression is executed, image quality will differ between before and after the compression. In light of this, image quality must be suppressed when executing non-reversible data compression. The following publications disclose techniques developed to satisfy such a demand.

Publication 1: Japanese Patent Application KOKAI Publication No. 8-18807 (Inventor: Koji Yabe)

Publication 2: "IMAGE PROCESSING BY COMPRESSED INFORMATION PROCESSING" PCSJ91, 2-4, pp37-40, October 1991, written by Takahiro Yamauchi

Publication 1 discloses a technique for executing smoothing processing before compression processing to thereby prevent image quality degradation due to noise in an input device such as a scanner from being emphasized by compression processing.

Publication 2 discloses a technique for expanding, contracting or filtering a code compressed by the DCT system as a frequency conversion system employed for compression processing.

The technique disclosed in publication 1 can

reduce the degree of image degradation due to  
compression processing. However, it cannot reduce the  
degree of image degradation caused by quantization  
executed when converting a three-color signal into a  
5 four-color signal using UCR (Under Color Reduction)  
processing as blacking processing executed after  
compression. Further, in this technique, the UCR  
processing et seq. is always performed on compressed  
image data. This means that no consideration is given  
10 to a case where both compressed image data and non-  
compressed image data are processed. Moreover, the  
publication does not disclose conversion of from three  
color signals to four color signals corresponding to  
the properties of images such as an image of a letter,  
15 an image of a picture, etc.

The technique disclosed in publication 2 describes,  
for example, filtering processing of compressed image  
data, but does not disclose, for example, consistency  
between compression processing and any other image  
20 processing.

#### BRIEF SUMMARY OF THE INVENTION

As described above, the prior art cannot reduce  
the degree of image degradation caused by quantization  
executed when converting three color signals into four  
25 color signals using UCR processing as blacking  
processing executed after compression. Furthermore,  
although the prior art discloses the UCR processing et

seq. always performed on compressed image data, it  
neither considers a case where both compressed image  
data and non-compressed image data are processed, nor  
discloses conversion of from three color signals to  
5 four color signals corresponding to the properties of  
images such as an image of a letter, an image of a  
picture, etc. In addition, the prior art discloses  
filtering processing of compressed image data, but not  
consistency between compression processing and any  
10 other image processing.

In light of the above, it is an object of the  
invention to provide (1) an image processing apparatus  
capable of reducing the degree of degradation of image  
quality due to compression processing and blacking  
15 processing.

It is another object of the invention to provide  
(2) an image processing apparatus capable of executing  
compression, at a high compression ratio, of an image  
having many achromatic color portions, in light of both  
20 non-compressed image data and compressed image data,  
and further capable of minimizing the difference in  
quality between non-compressed image data and  
compressed image data when executing signal conversion,  
thereby creating a high quality image.

25 (1) An image processing apparatus according to  
the invention comprises: color conversion means for  
converting a first color image signal that does not

contain a black color component, into a second color image signal that does not contain a black component; blacking processing means for adding a black component to the second color image signal to thereby create a third color image signal; and compression means for compressing the third color image signal on the basis of a frequency conversion system.

(2) An image processing apparatus according to the invention discriminates image processing to be executed on a color image signal that is compressed and then decoded, from image processing to be executed on a color image signal that has never been compressed.

(3) An image processing apparatus according to the invention recognizes the type of an image on the basis of predetermined image data, and changes image processing to be executed on image data, on the basis of the recognized type.

Additional objects and advantages of the invention will be set forth in the description which follows, and in part will be obvious from the description, or may be learned by practice of the invention. The objects and advantages of the invention may be realized and obtained by means of the instrumentalities and combinations particularly pointed out hereinafter.

#### BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING

The accompanying drawings, which are incorporated in and constitute a part of the specification,

illustrate presently preferred embodiments of the invention, and together with the general description given above and the detailed description of the preferred embodiments given below, serve to explain the principles of the invention.

FIG. 1 is a schematic block diagram illustrating a digital color copy machine to which an image processing apparatus according to a first embodiment of the invention is applied;

FIG. 2 is a schematic block diagram illustrating a blacking processing section incorporated in the image processing apparatus of the first embodiment;

FIG. 3 is a view illustrating CMY values obtained before blacking processing in a blacking processing section incorporated in the image processing apparatus of the first embodiment, and also illustrating C'M'Y'K' values obtained after the blacking process;

FIG. 4 is a schematic block diagram showing a compression section incorporated in the image processing apparatus of the first embodiment;

FIG. 5 is a view useful in explaining compression executed by DCT conversion in the image processing apparatus of the first embodiment;

FIG. 6 is a view useful in explaining decoding executed by reverse DCT conversion in the image processing apparatus of the first embodiment;

FIG. 7 is a view useful in explaining processing

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FIG. 8 is a schematic block diagram illustrating a digital color copy machine to which an image processing apparatus according to a second embodiment of the invention is applied;

FIG. 10 is a view useful in explaining compression executed in the image processing apparatus of the second embodiment, using one-dimensional four-value error dispersion;

FIG. 12 is a view showing an example of a LUT used for color conversion processing in the image processing apparatus of the second embodiment;

FIG. 14 is a schematic view illustrating a color  
25 conversion section (1) in the image processing  
apparatus of the second embodiment;

FIG. 15 is a view useful in explaining compression,

decoding and color conversion executed in the image processing apparatus of the second embodiment;

FIG. 16 is a schematic block diagram illustrating a digital color copy machine to which an image processing apparatus according to a modification of the second embodiment of the invention is applied;

FIG. 17 is a view useful in explaining color conversion executed in the modification of the image processing apparatus of the second embodiment;

FIG. 18 is a schematic view showing a blacking processing section incorporated in the modification of the image processing apparatus of the second embodiment;

FIG. 19 is a view useful in explaining compression, decoding and color conversion executed in the modification of the image processing apparatus of the second embodiment;

FIG. 20 is a schematic block diagram illustrating a digital color copy machine to which an image processing apparatus according to a third embodiment of the invention is applied;

FIG. 21 is a schematic view illustrating a recognition unit incorporated in the image processing apparatus of the third embodiment of the invention;

FIG. 22 is a view useful in explaining recognition processing executed by the recognition unit incorporated in the image processing apparatus of the

third embodiment;

FIG. 23 is a schematic block diagram showing a blacking processing section incorporated in the image processing apparatus of the third embodiment; and

5           FIG. 24 is a view useful in explaining recognition processing, color conversion processing and blacking processing executed in the image processing apparatus of the third embodiment.

#### DETAILED DESCRIPTION OF THE INVENTION

10           The embodiments of the invention will be described with reference to the accompanying drawings.

FIG. 1 shows the entire structure of a digital color copy machine to which an image processing apparatus according to a first embodiment of the  
15           invention. This digital color copy machine comprises a scanner 1001, a color conversion section 1002, a blacking processing section 1003, a compression section 1004, a memory 1005, a decoding section 1006, a printer engine 1007 and a control circuit 1100.

20           The basic operation of the digital color copy machine will be described. The scanner 1001 reads an image of a document to be copied, creates input image data corresponding to the read document image, and supplies it as a RGB signal to the color conversion  
25           section 1002. The color conversion section 1002 converts the RGB signal supplied from the scanner section 1001, into a CMY signal (an ink signal), and

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supplies it to the blacking processing section 1003. The blacking processing section 1003 converts the CMY signal from the color conversion section 1002, into a C'M'Y'K' signal, and supplies it to the compression section 1004. The compression section 1004 compresses the C'M'Y'K' signal supplied from the blacking processing section 1003 to thereby obtain a compressed-data signal 1011, and supplies the signal 1011 to the memory 1005. The memory 1005 stores the compressed-data signal 1011. The decoding section 1006 reads the compressed-data signal 1011 from the memory 1005 at any time, and decodes it into a decoded signal 1012, and supplies the signal 1012 to the printer engine 1007. The printer engine 1007 forms an image on a predetermined medium (such as a sheet of paper) on the basis of the encoded signal 1012 encoded by the encoding section 1006. The control circuit 1100 controls the entire copy operation. A copy of the document image is obtained from the above-described basic operation.

Referring now to the block diagram of FIG. 2, the operation of the blacking processing section 1003 will be described. The blacking processing section 1003 includes a minimum-value circuit 1003-1, a multiplier circuit 1003-2, and difference units 1003-4, 1003-5 and 1003-6.

The blacking processing section executes

processing expressed by the following formula (in the case of UCR) to obtain a C'M'Y'K' signal from a CMY signal.

$$K = k \times \min (C, M, Y) \text{ (min: the minimum value of C, M, Y values)}$$

(k: black ratio; 100%  $\rightarrow$  1, 0%  $\rightarrow$  0)

$$C' = C - K$$

$$M' = M - K$$

$$Y' = Y - K$$

The operation of the blacking processing section executed when the black ratio is 100% will be described. The minimum-value circuit 1003-1 obtains a minimum value component from the CMY signal. The multiplier circuit 1003-2 multiplies the minimum value component by a black ratio k1003-3 to thereby create a K signal component 1010-4. The difference units 1003-4, 1003-5 and 1003-6 create C'M'Y' signal components 1010-1, 1010-2 and 1010-3, respectively.

FIG. 3 shows conversion results in terms of specific numerical values. In the case of (a) of FIG. 3 showing signal levels before blacking processing, the difference in signal level between adjacent pixels of C or M due to, for example, noise is only 5. However, in the case of (b) of FIG. 3 showing signal levels after blacking processing, the difference in signal level between adjacent pixels of C' or M' is 15

at maximum.

Referring then to the block diagram of FIG. 4, the compression section 1004 will be described. The compression section includes a line memory 1004-1, a DCT 1004-2 and an encoder 1004-3.

The line memory 1004-1 keeps the levels of a CMYK signal supplied in units of one raster so that the DCT 1004-2 can deal with the signal in units of one block consisting of  $(4 \times 4)$  pixels. The DCT 1004-2 executes DCT calculation expressed by the following formula in units of  $(4 \times 4)$  pixels.

$x[u, v] =$

$$\left(\frac{2}{N}\right) c[u] c[v] \sum_{m=0}^{N-1} \sum_{n=0}^{N-1} x[m, n] \times \cos \frac{(2m+1)u\pi}{2N} \cos \frac{(2n+1)v\pi}{2N}$$

$$c[k] = \begin{cases} \frac{1}{\sqrt{2}}, & k = 0 \\ 1, & k \neq 0 \end{cases}$$

where N represents the number (=4) of blocks,  $x[m, n]$  each pixel value, and  $X[u, v]$  a DCT coefficient.

DCT calculation results 1004-4 (1004-4-1 corresponds to C, 1004-4-2 to M, 1004-4-3 to Y and 1004-4-4 to K) are encoded by the encoder 1004-3, output as compressed-data signal components 1011 and stored in the memory 1005.

When in general, an image signal is subjected to frequency conversion, spectra of colors more concentrate on a low frequency component side of the image signal than on a high frequency component side.

Accordingly, non-reversible compression can be executed at a high compression ratio by encoding only low frequency components.

FIG. 5 shows examples of sixteen ( $= 4 \times 4$ ) DCT calculation results for each CMYK signal component. As is understood from FIG. 5, the amount of code data can be reduced by about 20% by simply excluding six frequency components of high frequencies using the encoder 1004-3, after subjecting an 8-bit image signal to DCT conversion, and expressing each of the other frequency components (enclosed by the thick line) in terms of 10 bits. Since encoding of low frequency components using frequency conversion as in this embodiment is a well known method, no detailed description will be given thereof. The same processing is executed on each of the CMYK signal components.

The operation of the decoding section 1006 will be described with reference to FIG. 6.

In this section, each compressed-data signal 1011 is read from the memory 1005 and decoded into an image signal using reverse DCT calculation expressed by the following formula:

$$x[m, n] =$$

$$\left(\frac{2}{N}\right) \sum_{u=0}^{N-1} \sum_{v=0}^{N-1} c[u] c[v] x[u, v] \times \cos \frac{(2m+1)u\pi}{2N} \cos \frac{(2n+1)v\pi}{2N}$$

$$c[k] = \begin{cases} \frac{1}{\sqrt{2}}, & k = 0 \\ 1, & k \neq 0 \end{cases}$$

where N represents the number (=4) of blocks,  $x[m, n]$  each encoded pixel value, and  $X[u, v]$  a DCT coefficient.

The encoded image shown in (b) of FIG. 6 has smaller variations in pixel value than in the original image shown in FIG. 5. This results from a combination of compression processing and low-pass processing executed so as to exclude high frequency components as in usual data compression using frequency conversion.

Further, in compression executed using frequency conversion after blacking processing as in the present invention, a certain color component such as  $Y'$  has small variations in pixel value as shown in FIG. 3, and the pixel values of the components other than  $K$  approach 0 in the vicinity of achromatic color. This means that the use of frequency conversion for data compression after blacking processing enhances the compression efficiency.

FIG. 7 shows examples of signal components obtained by subjecting  $(4 \times 4)$  CMY signal components to blacking, compression and decoding processing. In this embodiment, the encoder performs fixed length encoding. However, in the case of signal components such as  $Y'$  signal components, many of which have a value of 0, or signal components such as  $Y'$  or  $M'$  signal components, which have low values, the compression ratio can be further increased if variable length encoding is executed.



As described above, in the first embodiment in which compression is executed after blacking processing, noise due to blacking processing can be suppressed by the low-pass effect, and further, the compression efficiency can be enhanced by virtue of the feature of the blacking processing.

Although the embodiment employs UCR as blacking processing, another type of blacking processing such as GCR (Gray Component Removal) can be used instead of UCR.

In the case of GCR blacking processing,  $C'M'Y'K'$  signal components are created from CMY signal components by processing expressed by the following formula:

$$K = k \times \min (C, M, Y) \quad (\text{min: the minimum value of } C, \\ M, Y \text{ values}) \\ (k: \text{black ratio; } 100\% \rightarrow 1, \\ 0\% \rightarrow 0)$$

$$C' = (C - K)/(1-K)$$

$$M' = (M - K)/(1-K)$$

$$Y' = (Y - K)/(1-K)$$

A second embodiment will now be described.

FIG. 8 shows the entire structure of a digital color copy machine to which an image processing apparatus according to a second embodiment of the invention.

This digital color copy machine comprises a scanner 2001, a selector 2002, a compression section

2003, a memory 2004, a decoding section 2005, a color conversion section (1) 2006, a color conversion section (2) 2007, a selector 2008, a printer engine 2009 and a control circuit 2100.

5           The basic operation of the digital color copy machine will be described. The control circuit 2100 controls the entire copying operation.

          During usual copying operation, the control circuit 2100 outputs a first switching signal 2016 to  
10   the selectors 2002 and 2008. Upon receiving the first switching signal 2016, the selector 2002 supplies the color conversion section (2) 2007 with an image signal output from the scanner 2001, and the selector 2008 supplies the printer engine 2009 with an image signal  
15   output from the color conversion section (2) 2007. The scanner 2001 reads an image of a document to be copied and creates, as an RGB signal, input image data corresponding to the read document image. In other words, at the time of usual copying, an RGB signal  
20   output from the scanner 2001 is directly subjected to color conversion and printed.

          On the other hand, when executing a copying operation using a function called "electronic sorting" as shown in FIG. 9, the control circuit 2100 outputs a  
25   second switching signal 2016 to the selectors 2002 and 2008. Upon receiving the second switching signal 2016, the selector 2002 supplies the compression section 2003



Referring then to FIGS. 10 and 11, the operations of the compression section 2003 and the decoding section 2005 will be described. As shown in FIG. 10, the compression section 2003 executes well-known one-dimensional four-value error dispersion processing, using threshold values and quantization values shown in FIG. 11. As is understood from FIG. 10, 8-bit data of each R, G or B signal component (24 bits in total) is compressed by 75% into a corresponding 2-bit representative value (6 bits in total). Thus, the resultant data amount is 25% of the original data amount. The resultant compressed data 2011 is stored in the memory 2004 and decoded by the decoding section 2005.

The color conversion section (1) 2006 and the color conversion section (2) 2007 will now be described. Color conversion is processing for converting three RGB signal components into four CMYK signal components used for printing by the printer engine 2009. FIG. 12 is an example of a color conversion table. If a look up table (LUT) stores all values of three 8-bit RGB signal components, it must store data of as much as  $256 \times 256 \times 256$  (input RGB signal components)  $\times 4 \times 8$  bits (output CMYK signal components) = 64 Mbytes. To reduce the amount of to-be-stored data, data obtained by thinning data at regular intervals is stored in the table instead of storing all values of the RGB signal

components, and the known interpolation is executed. In this embodiment, every fifteenth value is stored, and hence the required capacity of the LUT is only 23 Kbits.

5           However, if non-compressed data and compressed data are color-converted by the same system, a great conversion error will occur therebetween even in a unit of several pixels. To avoid this, the color conversion section (2) 2007 and the color conversion section (1) 10 2006 incorporate the same interpolation LUT as shown in FIGS. 13 and 14.

Specifically, as shown in FIG. 14, the color conversion section (1) 2006 includes D-FFs 2006-1 - 2006-9, averaging circuits 2006-11 - 2006-13, and an 15 interpolation LUT 2007-1. In the color conversion section (1) 2006, the average value of several pixels is calculated before data is input to the interpolation LUT 2007-1, in order to minimize the conversion error between non-compressed data and compressed data.

20           FIG. 15 illustrates examples of conversion results. (f) of FIG. 15 shows a compressed-data case where a decoded-data signal 2012 shown in (b) of FIG. 15, corresponding to a compressed image obtained by compressing an original image 2010 shown in (a) of 25 FIG. 15, is converted by the color conversion section (2) 2007. Further, (c) and (e) of FIG. 15 show another compressed-data case where the decoded-data signal 2012

is converted by the color conversion section (1) 2006.  
(d) of FIG. 15 shows a non-compressed-data case where  
non-compressed data is converted by the color  
conversion section (2) 2007. These figures clarify  
5 that when converting compressed data, the color  
conversion section (1) 2006 provides a smaller  
conversion error than the color conversion section (2)  
2007, and hence realizes a smaller difference in image  
quality between compressed and non-compressed images.

10 As described above, the second embodiment can  
realize a small difference in tint between image data  
that has been once compressed, and image data that has  
not yet been compressed, by changing color conversion  
methods therebetween. Although the second embodiment  
15 employs the same color conversion table between  
compressed data and non-compressed data, different  
color conversion tables may be used therebetween.

A modification of the second embodiment will be  
described.

20 FIG. 16 illustrates the entire structure of a  
digital color copy machine to which an image processing  
apparatus according to a modification of the second  
embodiment of the invention. The digital color copy  
machine of FIG. 16 only partially differs from that of  
25 FIG. 8. Therefore, similar elements to those in FIG. 8  
are denoted by corresponding reference numerals, and no  
detailed description will be given thereof.

This digital color copy machine of FIG. 16 comprises a scanner 2001, a selector 2002, a compression section 2003, a memory 2004, a decoding section 2005, a selector 2008, a color conversion section (3) 2017, a color conversion section (2) 2007, a blacking processing section 2018, a printer engine 2009 and a control circuit 2100.

The basic operation of the digital color copy machine will be described. The control circuit 2100 controls the entire copying operation.

During usual copying operation, the control circuit 2100 outputs a first switching signal 2016 to the selectors 2002 and 2008 and the blacking processing section 2018. Upon receiving the first switching signal 2016, the selector 2002 supplies the selector 2008 with an image signal output from the scanner 2001, the selector 2008 supplies the printer engine 2009 with an image signal output from the color conversion section (3) 2017, and the blacking processing section 2018 selects a black ratio for non-compression and executes blacking processing. The scanner 2001 reads an image of a document to be copied and creates, as an RGB signal, input image data corresponding to the read document image. In other words, at the time of usual copying, an RGB signal output from the scanner 2001 is directly subjected to color conversion and printed.

When executing a copying operation using a

function called "electronic sorting" as shown in FIG. 9,  
the control circuit 2100 outputs a second switching  
signal 2016 to the selectors 2002 and 2008. Upon  
receiving the second switching signal 2016, the  
5 selector 2002 supplies the compression section 2003  
with an image signal output from the scanner 2001, the  
selector 2008 supplies the color conversion section (3)  
2017 with an image signal output from the decoding  
section 2005, and the blacking processing section 2018  
10 selects a black ratio for compression and executes  
blacking processing. In other words, at the time of  
copying using the electronic sorting function, an RGB  
signal output from the scanner 2001 is subjected to  
color conversion, then to blacking processing based on  
15 the black ratio for compression, and printed, after it  
is compressed, stored and decoded.

The color conversion section (3) 2017 and the  
blacking processing section 2018 will be described.

FIG. 17 shows a table installed in the color  
20 conversion section (3) 2017. This table is used to  
output a CMY signal corresponding to an input RGB  
signal. As in the second embodiment, this table stores  
only every fifteenth value obtained by subjecting input  
values to known interpolation processing.

25 FIG. 18 shows an example of a structure of the  
blacking processing section 2018. The blacking  
processing section 2018 includes a minimum-value



circuit 2018-1, a multiplier circuit 2018-2, difference units 2018-4 - 2018-6, and a black ratio memory 2018-7.

Upon receiving a first switching signal 2016 output from the control circuit 2100, the black ratio memory 2018-7 supplies the multiplier circuit 2018-2 with a black ratio k2018-3 for non compression. On the other hand, upon receiving a second switching signal 2016 output from the control circuit 2100, the black ratio memory 2018-7 supplies the multiplier circuit 2018-2 with a black ratio k2018-3 for compression. Thus, output of signals for non-compression and for compression is switched.

FIG. 19 is a view useful in comparing color conversion and blacking processing executed on compressed and non-compressed images. It is understood from the figure that if compressed and non-compressed images are processed at the same black ratio, the levels of output signals greatly differ, whereas if a non-compressed image is processed at a black ratio of 100% and a compressed image is processed at a black ratio of 50%, variations in output signal level are minimized.

As described above, in the modification of the second embodiment, the range of variations in output signal level due to compression processing can be minimized by changing the black ratio used for blacking processing during compression and non-compression,

thereby improving image quality.

Although in the embodiment, the black ratio is changed while the same blacking system is used, the image quality can be improved also by changing the blacking system itself. For example, suppose that the blacking processing section 2018 executes blacking processing using two types of blacking systems (UCR and GCR) to be switched on the basis of a control signal output from the control circuit 2100. In this case, the black processing section 2018 executes black processing using one of the blacking systems, upon receiving the first switching signal 2016, and executes black processing using the other blacking system, upon receiving the second switching signal 2016. Thus, blacking processing is executed on image data that has been compressed once, and on image data that has not yet been compressed, using different blacking systems.

A third embodiment will be described.

FIG. 20 illustrates the entire structure of a digital color copy machine to which an image processing apparatus according to a third embodiment of the invention.

This digital color copy machine comprises a scanner 3001, a recognition unit 3002, a color conversion section 3003, a blacking processing section 3004, and a printer engine 3005. The basic operation of the digital color copy machine will be described.

The scanner 3001 reads an image of a document to be copied, creates input image data corresponding to the read document image, and supplies an RGB signal 3006 indicating the read image to the recognition unit 3002.

5 The recognition unit 3002 recognizes on the basis of the RGB signal 3006 whether or not the document image is a letter image or a photograph image. The recognition unit 3002 supplies the cover conversion section 3003 with the RGB signal 3006, and also  
10 supplies the blacking processing section 3004 with a recognition signal 3007 indicating the image recognition result based on the signal 3006. The color conversion section 3003 converts the RGB signal 3006 into a CMY signal 3008 and supplies it to the blacking processing section 3004. The blacking processing  
15 section 3004 selects a black ratio for a letter image or for a photograph image on the basis of the recognition signal 3007. Specifically, the section 3004 selects a black ratio for a letter image when  
20 copying a letter image, and a black ratio for a photograph image when copying a photograph image. As a result, the blacking processing section 3004 converts the CYM signal 3008 into a C'M'Y'K' signal and supplies it to the printer engine 3005.

25 FIG. 21 shows an example of the recognition unit 3002. The recognition unit 3002 includes a line memory 3002-1, averaging circuits 3002-2-1 - 3002-2-4, a

selector 3002-3, D-FFs 3002-12-1 - 3002-12-12, a maximum value comparator 3002-7, and minimum value comparator 3002-8, a difference unit 3002-9 and a comparator 3002-11.

5           As shown in FIG. 22, the recognition unit 3002 compares (a maximum value - a minimum value) in a (3 × 3) matrix that consists of the average values of RGB signal components, with a difference threshold value 3002-10, thereby determining the type of each document  
10 image. If it is determined as a result of comparison that (a maximum value - a minimum value) is higher than the difference threshold value, i.e. if the degree of change is high, it is determined that the image is a letter image. On the other hand, if (a maximum value -  
15 a minimum value) is lower than the difference threshold value, i.e. if the degree of change is low, it is determined that the image is a photograph image. The line memory 3002-1 stores data in units of one line, and the averaging circuit 3002-2 calculates the average  
20 values of the RGB signal components. The selector 3002-3 outputs a signal for selecting three lines of the four lines of the averaging results and not-yet averaged data.

25           This structure enables processing of raster data without stopping the operation of the scanner 3001. Data of each line is output to the maximum value comparator 3002-7 and the minimum value comparator

3002-8. The difference unit 3002-9 obtains the difference between the data of the maximum value comparator 3002-7 and that of the minimum value comparator 3002-8. The comparator 3002-11 compares the difference with the difference threshold value 3002-10. If the difference is higher than the threshold value, the comparator 3002-11 determines that the image is a letter image and imparts "1" to the recognition signal 3007. If the difference is lower than the threshold value, the comparator 3002-11 determines that the image is a photograph image and imparts "0" to the recognition signal 3007.

FIG. 23 shows an example of the blacking processing section 3004. The blacking processing section 3004 includes a minimum value circuit 3004-1, a multiplier circuit 3004-2, difference units 3004-4 - 3004-6 and black ratio memory 3004-7.

This example is similar to the first embodiment except that a black ratio k3004-3 for a letter image or a black ratio k3004-3 for a photograph image is read from the black ratio memory 3004-7 on the basis of the recognition signal 3007 output from the recognition unit 3002.

The color conversion section 3003 is similar to that employed in the modification of the second embodiment. The operation as illustrated in FIG. 24 will be described, using the values shown in FIG. 17.

FIG. 24 is a view useful in explaining the switching of the black ratio between a letter image and a photograph image. As shown (a) of FIG. 24, a black letter, for example, is liable to be copied only using K, and hence can be copied in solid black. On the other hand, as shown in (b) of FIG. 24, a photograph, for example, is copied using a small amount of K, and hence their tints can be kept.

Since as described above, the black ratio is changed between a letter image and a photograph image in the third embodiment, appropriate color reproduction can be executed for the images.

Although in the third embodiment, the black ratio is changed, using a single blacking system, similar image quality improvement can be attained by using different blacking systems. Suppose, for example, that the blacking processing section 3004 executes blacking processing by selectively using two types of blacking systems (UCR and GCR), and that the switching of the blacking systems is based on a recognition signal output from the recognition unit 3002. If, for example, the recognition unit 3002 determines that an image corresponding to an image signal output from the scanner 3004 is a letter image, the blacking processing section 3004 executes blacking processing using UCR as the blacking system. If, on the other hand, it is determined that the image is a photograph image, the

blacking processing section 3004 selects GCR as the  
blacking system and executes blacking processing. In  
other words, CUR in which black is expressed using a  
single color (K) is employed in the case of a letter  
5 image, while GCR in which chroma is maintained is  
employed in the case of a photograph image. As a  
result, appropriate blacking processing is executed for  
each of a letter image and a photograph image, thereby  
improving image quality.

10 Since as described above, compression using a  
frequency conversion system is executed after blacking  
processing in the embodiments, the level of noise that  
occurs during blacking processing can be reduced by  
virtue of a filtering effect during compression,  
15 thereby further enhancing the compression efficiency.

Moreover, the color difference during compression  
and non compression can be minimized by using different  
color conversion systems, black ratios and blacking  
systems.

20 In addition, since the black ratio or the blacking  
system is changed by the recognition processing,  
depending upon the types of images, i.e. a letter image,  
a photograph image, letter images can be printed in  
solid black at low printing cost, and photograph images  
25 can be reproduced clearly.

Although the embodiments uses a blacking system  
for converting three signal components into four signal

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components, the conversion of three signal components into four signal components can be executed using a plurality of LUTs (Look Up Tables).

As described in detail, the invention provides an  
5 image processing apparatus capable of minimizing image degradation due to compression processing and blacking processing, capable of compressing an image containing lots of achromatic portions at a high compression ratio, and capable of reducing the difference in quality  
10 between a compressed image and a non-compressed image at the time of signal conversion, thereby creating a high quality image.

Additional advantages and modifications will readily occur to those skilled in the art. Therefore,  
15 the invention in its broader aspects is not limited to the specific details and representative embodiments shown and described herein. Accordingly, various modifications may be made without departing from the spirit or scope of the general inventive concept as  
20 defined by the appended claims and their equivalents.



WHAT IS CLAIMED IS:

1. An image processing apparatus comprising:  
color conversion means for converting a first  
color image signal that does not contain a black color  
5 component, into a second color image signal that does  
not contain a black component;

blacking processing means for adding a black  
component to the second color image signal to thereby  
create a third color image signal; and

10 compression means for compressing the third color  
image signal on the basis of a frequency conversion  
system.

2. An image processing apparatus according to  
claim 1, further comprising:

15 decoding means for decoding the second color image  
signal compressed by the compression means, on the  
basis of the frequency conversion system; and

image forming means for forming, on a  
predetermined medium, an image based on the second  
20 color image signal decoded by the decoding means.

3. An image processing apparatus according to  
claim 1, wherein:

the first color image signal is an RGB signal;  
the second color image signal is a CMY signal; and  
25 the third color image signal is a C'M'Y'K' signal.

4. An image processing apparatus according to  
claim 1, further comprising:

compression means for compression a color image signal;

decoding means for decoding the color image signal compressed by the compression means; and

5 image processing means for selectively executing image processing on a color image signal compressed by the compression means and then decoded by the decoding means, and image processing on a color image signal that has never been compressed.

10 5. An image processing apparatus according to claim 4, wherein the image processing means includes:

first color conversion means for converting a first color image signal compressed by the compression means, then decoded by the decoding means and  
15 containing no black component, into a second color image signal containing a black component on the basis of a first color conversion system; and

second color conversion means for converting a first color image signal that has never been compressed  
20 and contains no black component, into a second color image signal containing a black component on the basis of a second color conversion system that differs from the first color conversion system.

25 6. An image processing apparatus according to claim 5, further comprising:

image reading means for reading a document and supplying a first color image signal corresponding to

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the document; and

control means for causing, when a first mode is set, the first color image signal supplied from the reading means, to be compressed by the compression means, to be stored, to be decoded by the decoding means, and to be converted into the second color image signal by the first color conversion means, the control means also causing, when a second mode differing from the first mode is set, the first color image signal supplied from the reading means, to be converted into the second color image signal by the second color conversion means.

7. An image processing apparatus according to claim 6, wherein:

the first color image signal is an RGB signal;  
the second color image signal is a CMYK signal;  
the first mode is an electronic sorting copy mode;  
and

the second mode is a normal copy mode.

8. An image processing apparatus according to claim 4, wherein the image processing means includes:

first blacking means for adding, at a first black ratio, a black component to a second color image signal created from a first color image signal that is compressed, then decoded and contains no black component, thereby creating a third color image signal;  
and

second blacking means for adding, at a second black ratio differing from the first black ratio, a black component to a second color image signal created from a first color image signal that has never been compressed and contains no black component, thereby creating a third color image signal.

9. An image processing apparatus according to claim 8, further comprising:

image reading means for reading a document and supplying a first color image signal corresponding to the document;

color conversion means for converting the first color image signal into a second color image signal; and

control means for causing, when a first mode is set, the first color image signal supplied from the reading means, to be compressed by the compression means, to be stored, to be decoded by the decoding means, to be converted into the second color image signal by the color conversion means, and to be converted into a third color image signal by the first blacking means, the control means also causing, when a second mode differing from the first mode is set, the first color image signal supplied from the reading means, to be converted into the second color image signal by the color conversion means, and then to be converted into the third color image signal by the



the document;

color conversion means for converting the first color image signal into a second color image signal; and

5 control means for causing, when a first mode is set, the first color image signal supplied from the reading means, to be compressed by the compression means, to be stored, to be decoded by the decoding means, to be converted into the second color image  
10 signal by the color conversion means, and to be converted into a third color image signal by the first blacking means, the control means also causing, when a second mode differing from the first mode is set, the first color image signal supplied from the reading  
15 means, to be converted into the second color image signal by the color conversion means, and then to be converted into the third color image signal by the second blacking means.

13. An image processing apparatus according to  
20 claim 12, wherein:

the first color image signal is an RGB signal;  
the second color image signal is a CMY signal;  
the third color image signal is a C'M'Y'K' signal;  
the first mode is an electronic sorting copy mode;  
25 the second mode is a normal copy mode; and  
one of the first and second blacking systems is a UCR (Under Color Reduction) system, and the other of

the first and second blacking systems is a GCR (Gray Component Removal) system.

14. An image processing apparatus for recognizing the type of an image on the basis of predetermined image data, and changing image processing to be executed on image data on the basis of the recognized type of the image.

15. An image processing apparatus according to claim 14, further comprising:

10 recognition means for recognizing the type of an image corresponding to a first color image signal that contains no black component;

color conversion means for converting the first color image signal into a second color image signal that contains no black component;

15 first blacking means for adding, at a first black ratio, a black component to the second color image signal when the recognition means has recognized that the first color image signal indicates a letter image, thereby creating a third color image signal; and

20 second blacking means for adding, at a second black ratio differing from the first black ratio, a black component to the second color image signal when the recognition means has recognized that the first color image signal indicates a photograph image, thereby creating a third color image signal.

25 16. An image processing apparatus according to

claim 15, wherein:

the first color image signal is an RGB signal;  
the second color image signal is a CMY signal; and  
the third color image signal is a C'M'Y'K' signal.

5 17. An image processing apparatus according to  
claim 14, further comprising:

recognition means for recognizing the type of an  
image corresponding to a first color image signal that  
contains no black component;

10 color conversion means for converting the first  
color image signal into a second color image signal  
that contains no black component;

first blacking means for adding, on the basis of a  
first blacking system, a black component to the second  
15 color image signal when the recognition means has  
recognized that the first color image signal indicates  
a letter image, thereby creating a third color image  
signal; and

second blacking means for adding, on the basis of  
20 a second blacking system differing from the first  
blackening system, a black component to the second color  
image signal when the recognition means has recognized  
that the first color image signal indicates a  
photograph image, thereby creating a third color image  
25 signal.

18. An image processing apparatus according to  
claim 17, wherein:



the first color image signal is an RGB signal;  
the second color image signal is a CMY signal;  
the third color image signal is a C'M'Y'K' signal;  
the first mode is an electronic sorting copy mode;  
the second mode is a normal copy mode; and  
one of the first and second blacking systems is a  
UCR (Under Color Reduction) system, and the other of  
the first and second blacking systems is a GCR (Gray  
Component Removal) system.

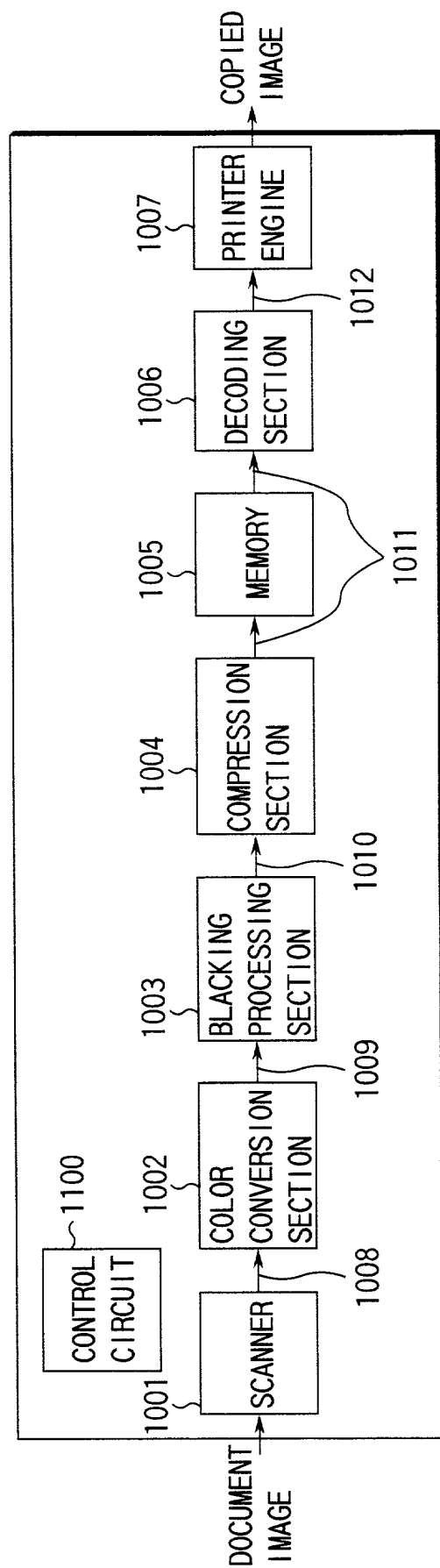
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ABSTRACT OF THE DISCLOSURE

When a first mode is designated, an RGB image signal obtained by reading an image is compressed, decoded and stored. After that, the resultant signal is converted into a CMYK image signal on the basis of a first color conversion system, and an image based on the YMCK image signal is formed on a medium. When a second mode different from the first mode is designated, an RGB image signal obtained by reading an image is directly converted into a CMYK image signal on the basis of a second color conversion system, and an image based on the YMCK image signal is formed on a medium.

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**FIG. 1**



C	M	Y
100	95	90
95	100	95
95	95	95
95	100	90
100	95	85
95	100	80
90	95	90

C'	M'	Y'	K
10	5	0	90
0	5	0	95
0	0	0	95
5	10	0	90
15	10	0	85
15	20	0	80
0	5	0	90

Variable	Mean	SD	Min	Max
Age	34.5	10.2	21	55
Gender	1.2	0.4	1	2
Marital Status	1.8	0.4	1	2
Education	12.5	1.5	9	16
Income	15.2	3.5	10	25
Occupation	1.5	0.5	1	3
Health Status	1.5	0.5	1	3
Stress Level	2.5	1.0	1	4
Life Satisfaction	3.5	1.0	1	5
Resilience	4.5	1.0	1	5
Optimism	3.5	1.0	1	5
Gratitude	3.5	1.0	1	5
Forgiveness	3.5	1.0	1	5
Compassion	3.5	1.0	1	5
Kindness	3.5	1.0	1	5
Generosity	3.5	1.0	1	5
Patience	3.5	1.0	1	5
Self-control	3.5	1.0	1	5
Emotional Stability	3.5	1.0	1	5
Psychological Well-being	3.5	1.0	1	5
Life Purpose	3.5	1.0	1	5
Meaning in Life	3.5	1.0	1	5
Existential Well-being	3.5	1.0	1	5
Transcendental Well-being	3.5	1.0	1	5
Overall Well-being	3.5	1.0	1	5



FIG. 5

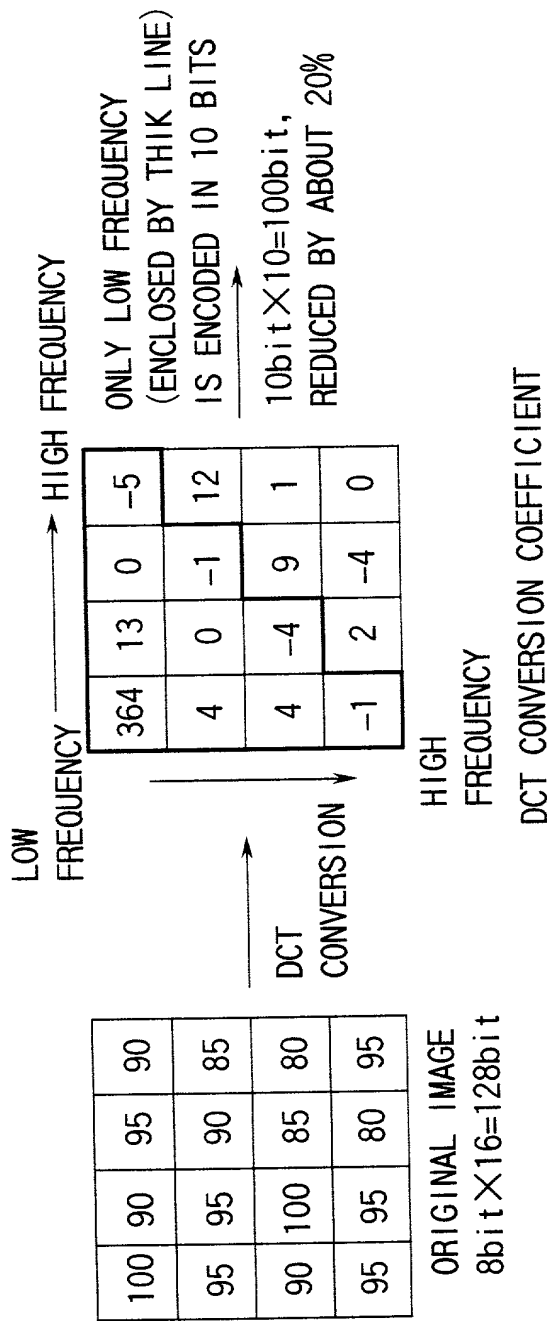


FIG. 6

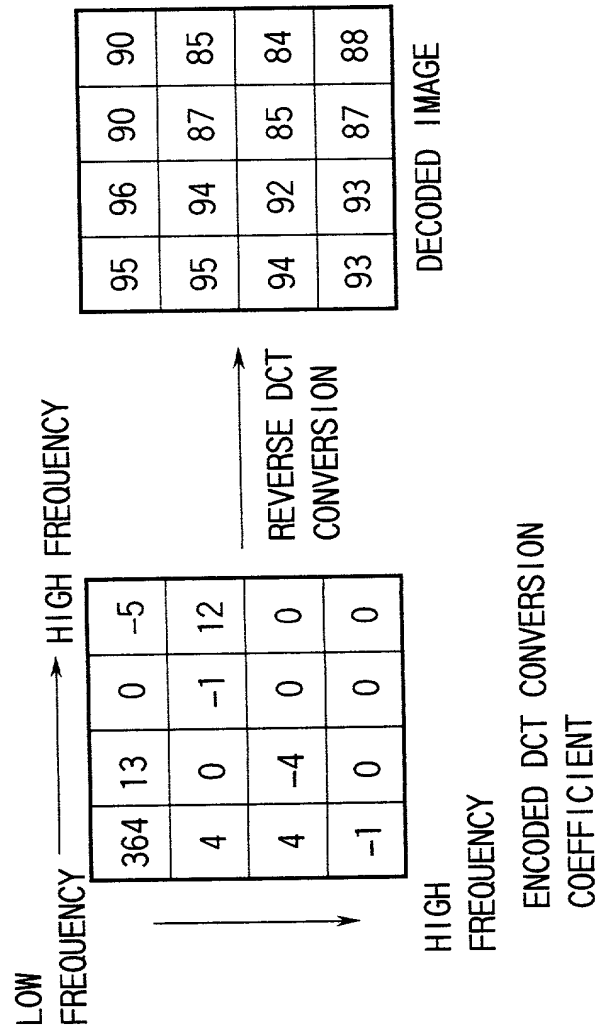


IMAGE C1009-1

55	55	45	55
50	45	45	60
55	40	45	45
60	50	50	55

IMAGE M1009-2

55	55	45	55
50	45	45	60
55	40	45	45
60	50	50	55

IMAGE Y1009-3

50	55	45	50
50	45	45	50
45	40	45	45
55	50	45	55

(p) AFTER BLANKING  
K1010-4

40	40	45	40
40	45	50	40
45	40	40	45
35	40	45	30

**(b) AFTER BLANKING**

C1010-1	5	0	0	5
	0	0	0	10
	10	0	0	0
	5	0	0	0

**(g) AFTER BLANKING**

0	0	0	0
0	5	5	0
0	5	5	0
0	0	0	0

(c) AFTER BLANKING

192	1	7	-3
0	1	-4	-3
9	2	0	-6
-5	0	-3	1

(q) DCT COEFFICIENT  
K1004-4-4

164	0	-7	5
5	-2	1	0
-7	0	-4	2
0	0	10	-2

(c) DCT COEFFICIENT

8	1	8	0
1	-5	1	-2
-1	1	-1	0
0	7	0	3

### (h) DCT COEFFICIENT

4	0	0	-5	0
0	0	0	0	0
-5	0	0	5	0
0	0	0	0	0

(m) DCT COEFFICIENT

192	1	7	-3
0	1	-4	0
9	2	0	0
-5	0	0	0

(r) COMPRESSION DCT  
COEFFICIENT K1011-2

164	0	-7	5
5	-2	1	0
-7	0	0	0
0	0	0	0

(d) COMPRESSION DCT

EFFICIENT C'101	8	1	8	0
	1	-5	1	0
	-1	1	0	0
	0	0	0	0

(i) COMPRESSION DCT

4	0	-5	0
0	0	0	0
-5	0	0	0
0	0	0	0

## (n) COMPRESSION DCT

51	50	47	49
48	47	45	49
45	42	41	47
54	49	46	53

(s) DECODED IMAGE  
SIGNAL K1012-4

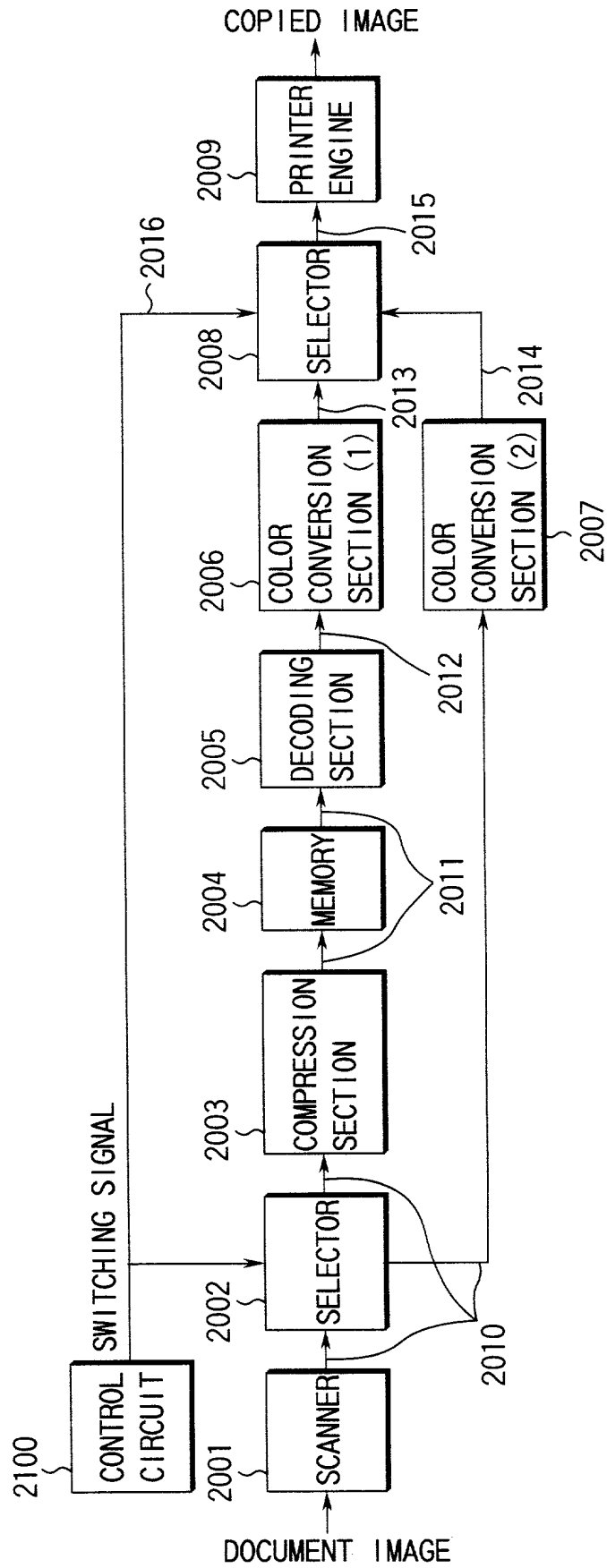


FIG. 8



STORE ALL DATA IN MEMORY  
(a)

REPEAT SEQUENTIAL  
READING OF A  
PLURALITY OF DATA  
ITEMS AND SORT THEM  
(b)

REPEAT SEQUENTIAL  
READING OF A  
PLURALITY OF DATA  
ITEMS AND SORT THEM  
(c)

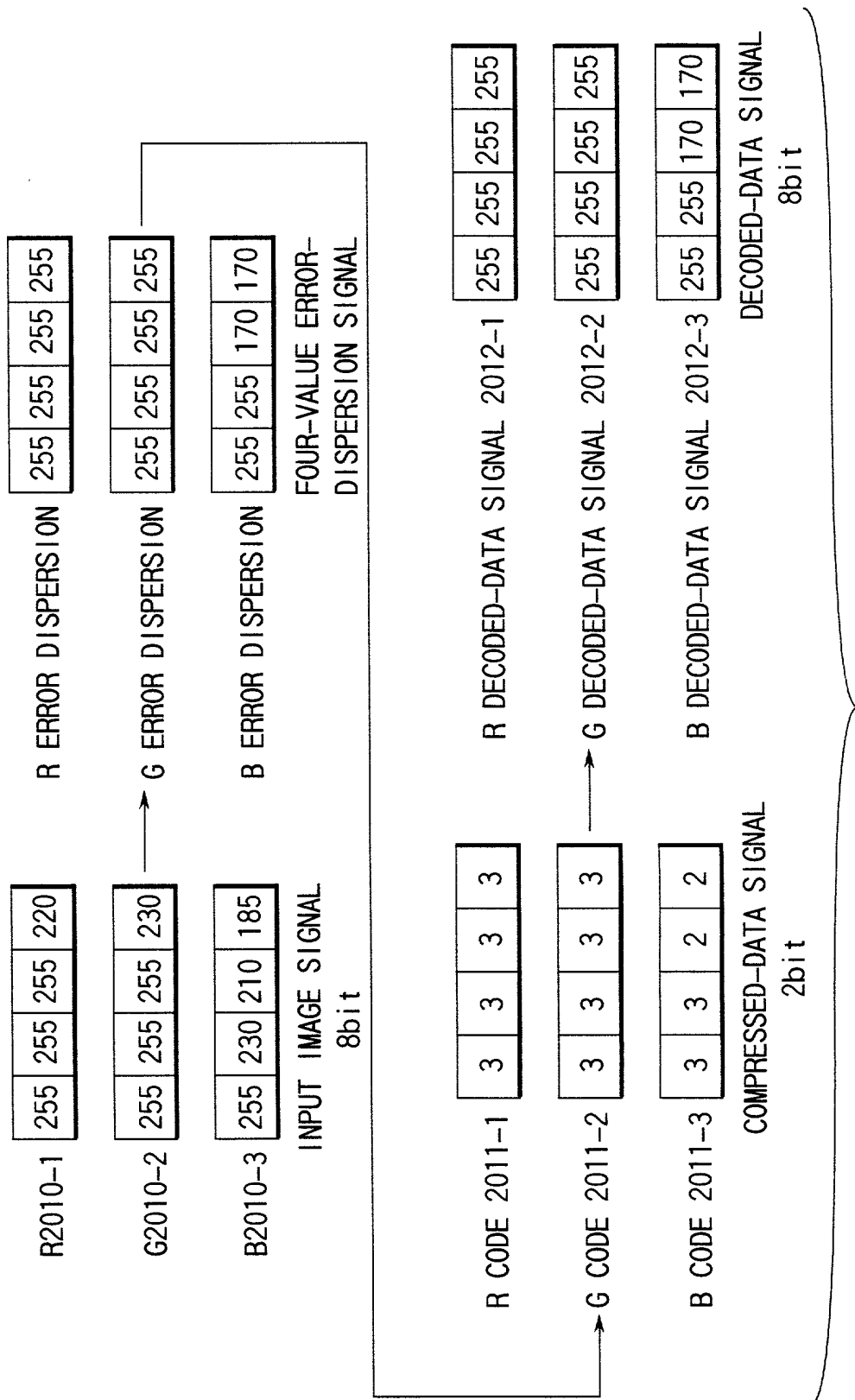


FIG. 10



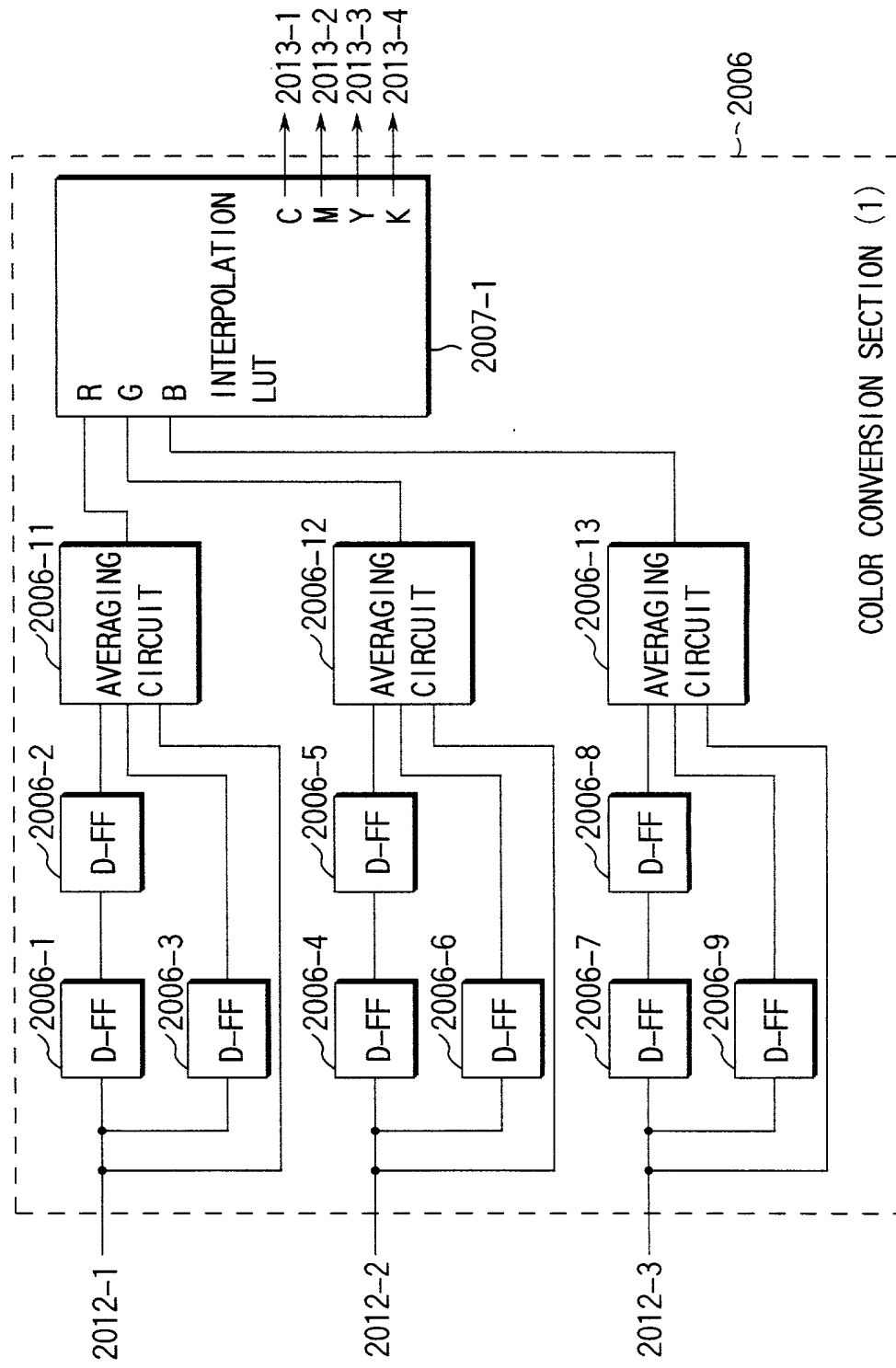
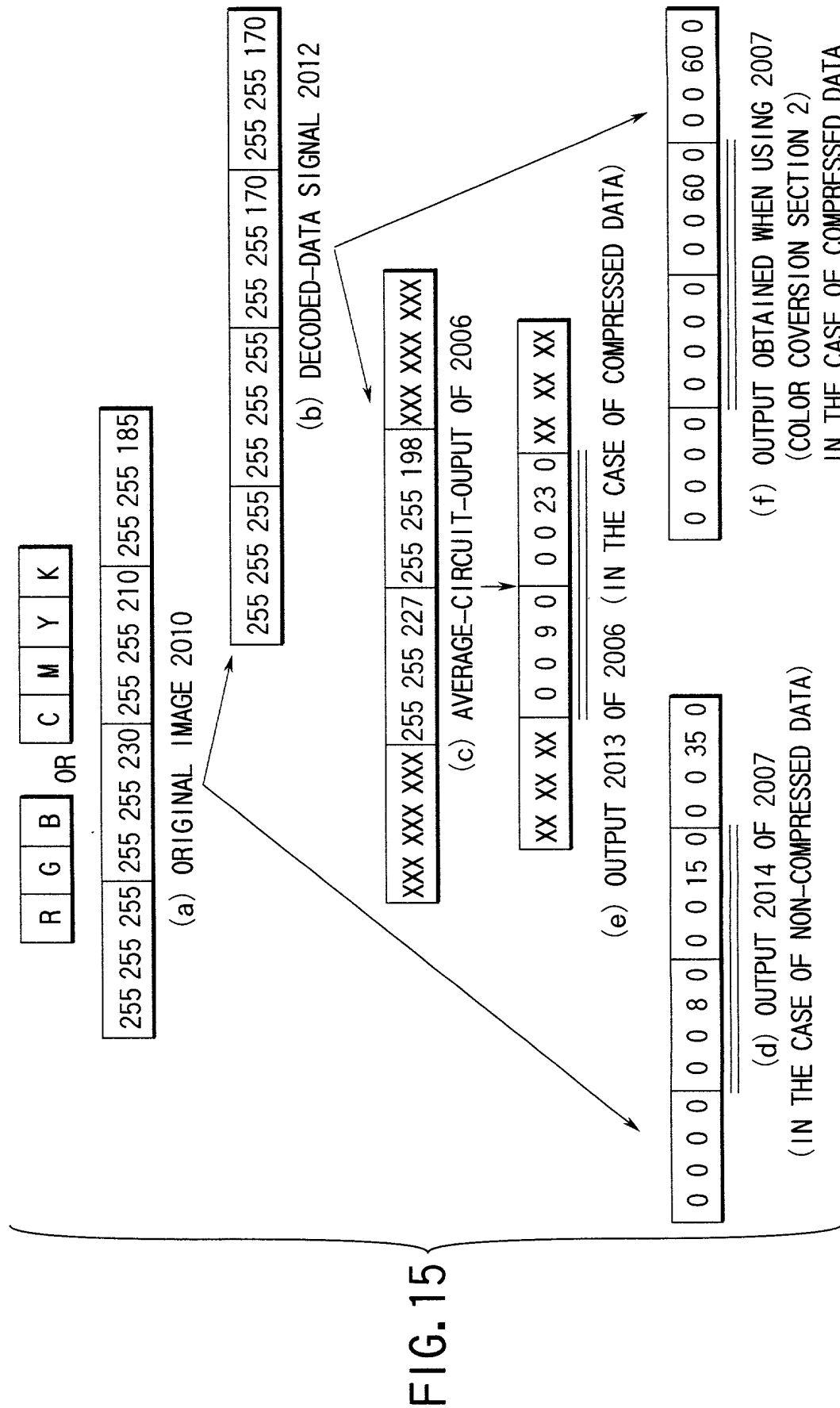


FIG. 14





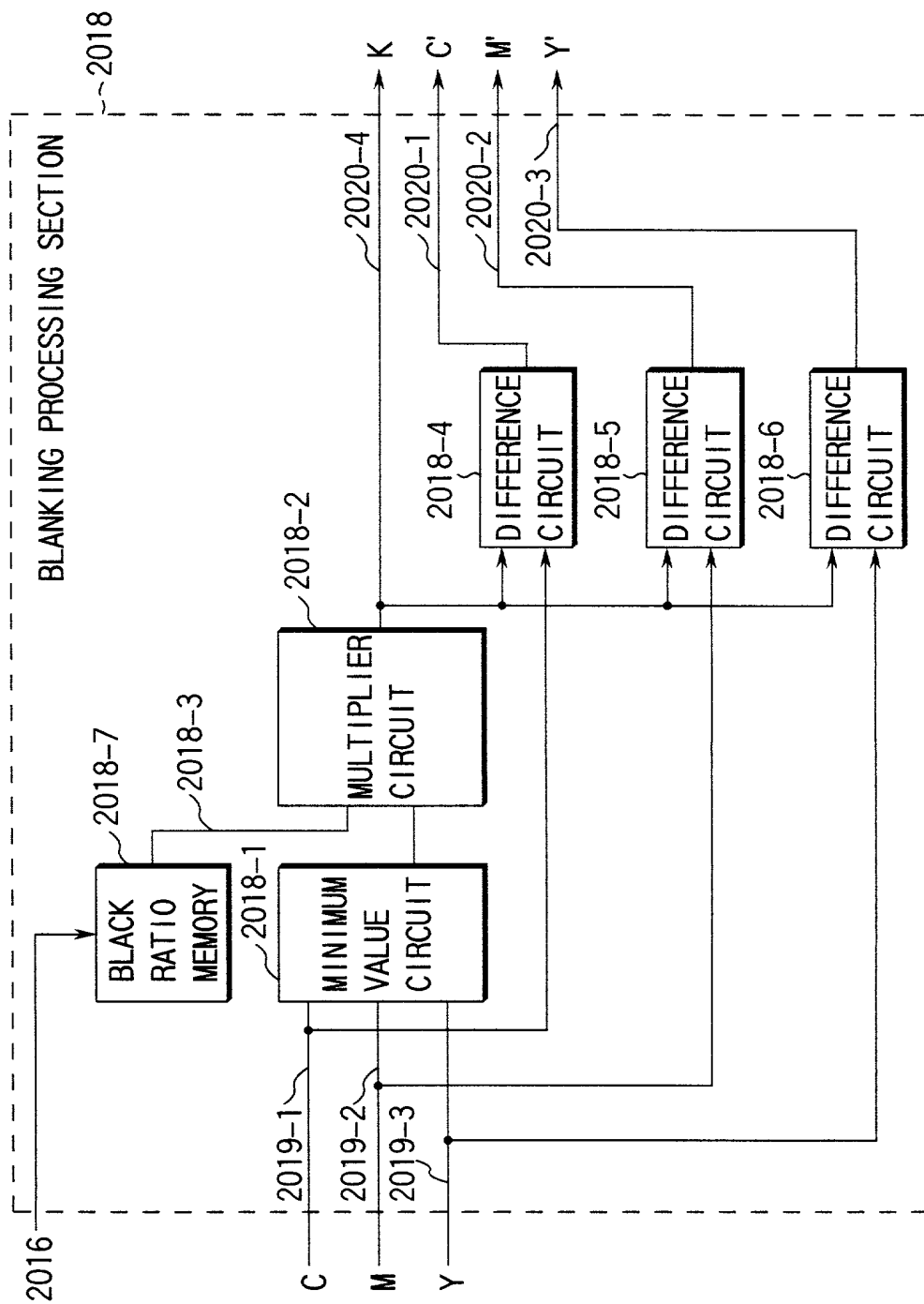
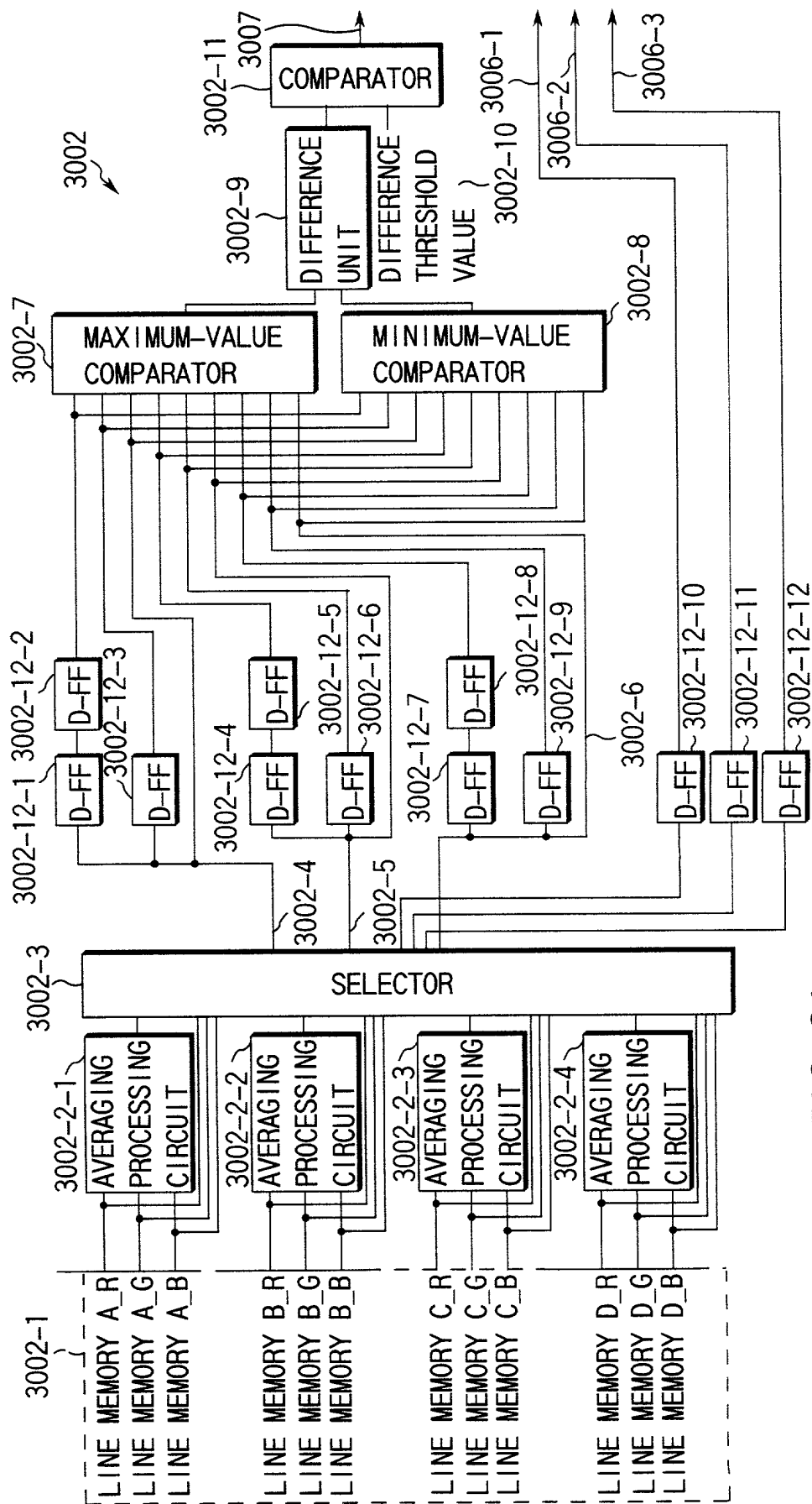


FIG. 18









**FIG. 21**

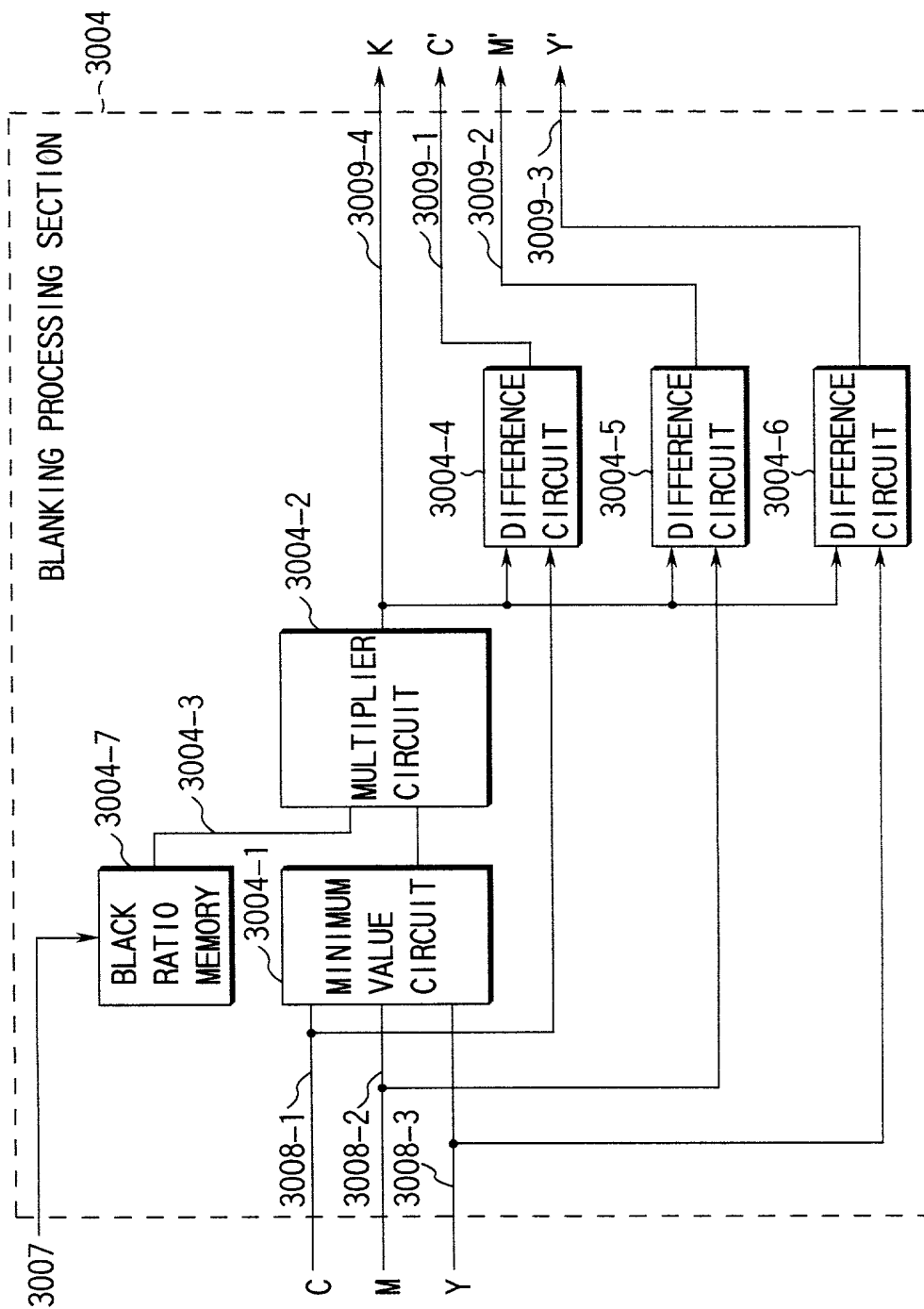


FIG. 23



## DECLARATION FOR PATENT APPLICATION

(99S0829)

As a below named inventor, I declare that my residence, post office address and citizenship are as stated below above my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COLOR IMAGE PROCESSING APPARATUS THAT PERFORMS COLOR  
CONVERSION PROCESSING

the specification of which (check applicable box(es)).

☒ is attached hereto.

☐ was filed on  
No.

as United States Application No. or PCT International Application

☐ and was amended on

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 35 U.S.C. 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Japanese Patent Application No. 11-077954, filed March 23, 1999

Priority Claimed

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s) or 35 U.S.C. 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby appoint as my attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Stephen A. Bent (Reg. No. 29,768), David A. Blumenthal (Reg. No. 26,257), John J. Feldhaus (Reg. No. 28,822), Donald D. Jeffery (Reg. No. 19,980), Peter G. Mack (Reg. No. 26,001), Brian J. McNamara (Reg. No. 32,789), Sybil Meloy (Reg. No. 22,749), Colin G. Sandercock (Reg. No. 31,298), Bernhard D. Saxe (Reg. No. 28,665), Richard L. Schwaab (Reg. No. 25,479) and Arthur Schwartz (Reg. No. 22,115), each of whose address is Suite 500, 3000 K Street, N.W. Washington, D.C. 20007-5109, or any one of them, and request that correspondence be directed to Foley & Lardner, Suite 500, 3000 K Street, N.W. Washington, D.C. 20007-5109.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

[1st Inventor]

Residence Address: 4-14-1, Hiyoshihoncho, Kohoku-ku, Yokohama-shi, Kanagawa-ken, Japan

Post Office Address: 4-14-1, Hiyoshihoncho, Kohoku-ku, Yokohama-shi, Kanagawa-ken, Japan

Country of Citizenship: Japan

Date: March 2, 2000

Sunao Tabata

Sunao Tabata

[2nd Inventor]

Residence Address: 3029-2, Nogawa, Miyamae-ku, Kawasaki-shi, Kanagawa-ken, Japan

Post Office Address: 3029-2, Nogawa, Miyamae-ku, Kawasaki-shi, Kanagawa-ken, Japan

Country of Citizenship: Japan

Date: March 2, 2000

Hiroyuki Fuse

Hiroyuki Fuse

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